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PATENT APPLICATION  
Attorney Docket No.: 21822-005C



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Samuel W. Lessin ART UNIT: 2161  
SERIAL NO.: 09/930,313 EXAMINER: NOT YET ASSIGNED  
FILING DATE: August 15, 2001  
FOR: METHODS AND APPARATUSES FOR AN ONLINE PERSONAL  
FUNDING MARKETPLACE

October 3, 2002  
Boston, Massachusetts

BOX IDS

Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in the present application are the following documents:

- ☒ Supplemental Information Disclosure Statement (2 pages);
- ☒ Modified Form 1449/PTO (1 page) in duplicate;
- ☒ Copies of References A10-A13, B1, C1-C2; and
- ☒ Return Postcard.

The Commissioner is hereby authorized to charge any fees that may be due to Deposit Account No. 50-0311, Reference No. 21822-005C. A duplicate copy of this transmittal letter is enclosed.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 212/692-6803, New York, New York.

A duplicate copy of this transmittal letter is enclosed.

Date: October 3, 2002

Respectfully submitted,

Brian P. Hopkins, Reg. No. 42,669  
Attorney for Applicant  
MINTZ, LEVIN, COHN, FERRIS  
GLOVSKY AND POPEO, P.C.  
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Boston, Massachusetts 02111  
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant hereby make of record the documents listed on the enclosed modified Form PTO-1449 (submitted in duplicate) in the above-identified application which were cited in the International Search Report date of mailing September 18, 2002. The order of presentation of the references should not be construed as an indication of the importance of the references.

This Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the above-identified case. Accordingly, no fee or certification is required. 37 C.F.R. §1.97.

A copy of each of the references is enclosed unless otherwise indicated on the attached Form PTO-1449 (modified). It is respectfully requested that the Examiner consider the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

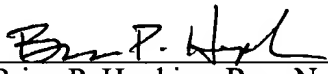
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APPLICANTS: Samuel W. Lessin  
U.S.S.N.: 09/930,313

By submitting this Supplemental Information Disclosure Statement, the Applicant make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested. Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 21822-005C.

Respectfully submitted,

  
Brian P. Hopkins, Reg. No. 42,669  
Attorney for Applicant  
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One Financial Center  
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Dated: October 3, 2002



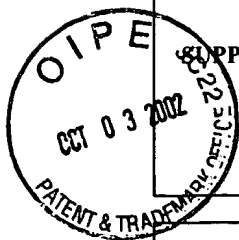
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<b>Modified Form 1449/PTO</b>  <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)	<b>Application Number</b>	09/930,313
	<b>Filing Date</b>	August 15, 2001
	<b>First Named Inventor</b>	Samuel W. Lessin
	<b>Group Art Unit</b>	2161
	<b>Examiner Name</b>	Not Yet Assigned
	<b>Attorney Docket Number</b>	21822-005C



U.S. PATENT DOCUMENTS							
Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate
	A10	2002/0032599 A1	03/14/02	Chandler et al.	705	10	
	A11	2002/0046038 A1	04/18/02	Prokoski	705	1	
	A12	2001/0039508 A1	11/08/01	Nagler et al.	705	11	
	A13	2002/0073006 A1	06/13/02	Goldman	705	35	

FOREIGN PATENT DOCUMENTS							
Exam Initials	Cite No.	Foreign Patent Document Office	Number	Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes	No
	B1	WO	01/20523 A1 ✓	Venture Capital Online, LLC	March 22, 2001	X	

OTHER NON PATENT LITERATURE DOCUMENTS		
Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.
	C1	"128 VC Group Launches New Site" NetageVC News, 10 December 2000 ✓
	C2	International Search Report for PCT/US02/07619, mailed 18 September 2002 ✓

\* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, U.S.S.N. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

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